

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on **March 31, 2004** in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number **EV445425712US**, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No. LAM2P468

First Named Inventor: Robert L. Anderson II

Kay Harlow

*Kay Harlow*

19270 U.S. PTO  
10/816431



**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
Robert L. Anderson II and Robert Charatan  
For: **METHOD OF AND PLATEN FOR CONTROLLING REMOVAL RATE CHARACTERISTICS  
IN CHEMICAL MECHANICAL PLANARIZATION**

Application Elements:

- ☒ 59 Pages of Specification, Claims and Abstract
- ☒ 14 Sheets of Drawings (Formal)
- ☒ 02 Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of **\$40.00** enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Other:

Fee Calculation (37 CFR § 1.16)

|   | (Col. 1)         | (Col. 2)         | SMALL ENTITY |            | OR | LARGE ENTITY |                        |
|---|------------------|------------------|--------------|------------|----|--------------|------------------------|
|   | <u>NO. FILED</u> | <u>NO. EXTRA</u> | <u>RATE</u>  | <u>FEE</u> |    | <u>RATE</u>  | <u>FEE</u>             |
| BASIC FEE   |                  |                  | \$385        | \$         | OR | \$770        | \$770.00               |
| TOTAL CLAIMS  | <u>25</u>        | -20 = <u>05</u>  | x09=         | \$         | OR | x18 =        | \$ 90.00               |
| INDEP CLAIMS  | <u>04</u>        | -03 = <u>01</u>  | x43 =        | \$         | OR | x86 =        | \$ 86.00               |
| [ ] Multiple Dependent Claim Presented                                |                  |                  | \$145 =      | \$         | OR | \$290 =      | \$                     |
| * If the difference in Col. 1 is less than zero, enter "0" in Col. 2. |                  |                  | Total        | \$         | OR | Total        | <u><b>\$946.00</b></u> |

☒ Check No. 10742 in the amount of **\$986.00** is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P468).

Request for Small Entity Status

☐ Applicant is entitled to small entity status.

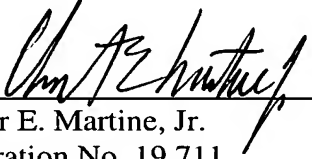
General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. LAM2P468).

☒ Please send correspondence to the following address:

**Chester E. Martine, Jr.**  
MARTINE & PENILLA, LLP  
710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
**Customer Number 25920**  
Tel (408) 749-6900  
Fax (408) 749-6901

Date: March 31, 2004

  
\_\_\_\_\_  
Chester E. Martine, Jr.  
Registration No. 19,711

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of )  
Anderson II et al. ) Examiner: Unassigned  
Application No. [To be assigned] ) Art Unit: Unassigned  
Filed: March 31, 2004 ) Docket No. LAM2P468  
For: METHOD OF AND PLATEN FOR ) Date: March 31, 2004  
CONTROLLING REMOVAL RATE )  
CHARACTERISTICS IN CHEMICAL )  
MECHANICAL PLANARIZATION )

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on March 31, 2004 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number **EV445425712US**, addressed to the Commissioner for Patents, R.O. Box 1450, Alexandria, VA 22313-1450.

Signed: \_\_\_\_\_

Kay Harlow

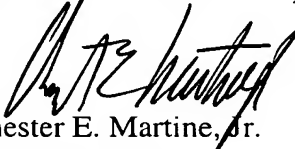
REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE & PENILLA, LLP

  
Chester E. Martine, Jr.  
Reg. No. 19,711

710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
(408) 749-6900  
**Customer Number 25920**